## STATE ELECTIONS ENFORCEMENT COMMISSION REVISED CONTRIBUTION LIMITS & RESTRICTIONS

CHART 1 - INDIVIDUALS AND COMMITTEES
July 2013

		Contributor Sources									
	Committee Type (received by)	Individual <sup>1, 2</sup>	State Central Party Committee <sup>2</sup>	Town Committee <sup>2</sup>	Political Committee 2 or more Individuals <sup>2</sup>	Political Committee Business Entity <sup>2</sup>	Political Committee Labor Organization <sup>2</sup>	Political Committee Established for single election <sup>2</sup>	Legislative Leadership or Caucus Committee	Business Entity	Referendum Political Committee
NON PARTICIPATING CANDIDATES	Candidate (Governor)	3,500	50,000	7,500	5,000	5,000	5,000	5,000	PROHIBITED	PROHIBITED	PROHIBITED
	Candidate (Lt. Gov., SOTS, Treasurer, Comptroller, AG)	2,000	35,000	5,000	3,000	3,000	3,000	3,000	PROHIBITED	PROHIBITED	PROHIBITED
	Candidate (State Senator)	1,000	10,000	5,000	1,500	1,500	1,500	1,500	10,000	PROHIBITED	PROHIBITED
	Candidate (State Representative)	250	5,000	3,000	750	750	750	750	5,000	PROHIBITED	PROHIBITED
PARTICIPATING CANDIDATES	Candidate (Governor)	100	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED
	Candidate (Lt. Gov., SOTS, Treasurer, Comptroller, AG)	100	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED
	Candidate (State Senator)	100	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED
	Candidate (State Representative)	100	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED
	Exploratory Committee (Statewide Office and/or General Assembly - not including State Rep.)  Exploratory Committee (Statewide Office and/or General	375	375	375	375	375	375	375	PROHIBITED	PROHIBITED	PROHIBITED
	Assembly - including State Rep.)	250	375	375	375	375	375	375	PROHIBITED	PROHIBITED	PROHIBITED
	Candidate (Probate Judge)	1,000	10,000	3,000	1,500	1,500	1,500	1,500	PROHIBITED	PROHIBITED	PROHIBITED
	Candidate (Chief Executive Officer of Town)	1,000	10,000	3,000	1,500	1,500	1,500	1,500	PROHIBITED	PROHIBITED	PROHIBITED
	Candidate (All other Municipal Offices)	250	5,000	1,500	375	375	375	375	PROHIBITED	PROHIBITED	PROHIBITED
	Candidate (Town Committee Primary) 3	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	PROHIBITED	UNLIMITED	PROHIBITED
	Exploratory committee (Municipal Office Only)	375	375	375	375	375	375	375	PROHIBITED	PROHIBITED	PROHIBITED
	Political Slate Committee (Municipal Office Only)	1,000/yr	2,500/yr	1,500/yr	2,000/yr	2,000/yr	2,000/yr	2,000	PROHIBITED	PROHIBITED	PROHIBITED
	Political Slate Committee (Town Committee Primary) 3	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	PROHIBITED	UNLIMITED	PROHIBITED
	Party Committee (Town)	2,000/yr	UNLIMITED	UNLIMITED	1,500/yr	1,500/yr	1,500/yr	1,500/yr	PROHIBITED	PROHIBITED	PROHIBITED
	Party Committee (State Central)	10,000/yr	UNLIMITED	UNLIMITED	7,500/yr	7,500/yr	7,500/yr	7,500/yr	10,000/yr	PROHIBITED	PROHIBITED
	Legislative Caucus or Leadership Committee	2,000/yr	10,000/yr	2,000/yr	2,000/yr	2,000/yr	2,000/yr	2,000	PROHIBITED	PROHIBITED	PROHIBITED
	Political Committee (2 or more Individuals)	1,000/yr	2,500/yr	1,500/yr	2,000/yr	2,000/yr	2,000/yr	2,000	PROHIBITED	PROHIBITED	PROHIBITED
	Political Committee (Business Entity)	1,000/yr	2,500/yr	1,500/yr	2,000/yr	2,000/yr	2,000/yr	2,000	PROHIBITED	PROHIBITED	PROHIBITED
	Political Committee (Organization)	750/yr <sup>5</sup>	PROHIBITED	PROHIBITED	2,000/yr	2,000/yr	2,000/yr	2,000	PROHIBITED	PROHIBITED	PROHIBITED
	Referendum <sup>4</sup>	UNLIMITED	UNLIMITED	UNLIMITED	2,000/yr	2,000/yr	UNLIMITED	2,000	PROHIBITED	\$.10 / Resident	PROHIBITED
	National Committee of a Political Party <sup>6</sup>	SEE NOTE 6	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	PROHIBITED	PROHIBITED	SEE NOTE 6	PROHIBITED
	Federal or Out of State Candidate Committee <sup>6</sup>	SEE NOTE 6	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	PROHIBITED	PROHIBITED	SEE NOTE 6	PROHIBITED

## STATE ELECTIONS ENFORCEMENT COMMISSION REVISED CONTRIBUTION LIMITS & RESTRICTIONS

CHART 2 - SPECIAL DONOR RESTRICTIONS
July 2013

		Contributor Sources									
	Committee Type (received by)	Lobbyist	State Contractor, Prospective State Contractor, Principal of State Contractor, Principal of Prospective State Contractor (Individual)	Principal of Prequalified State Contractor	Executive Branch Agency Officials and	Constitu- tional Officer Agency Officials and	Legislative Branch Caucus Staff <sup>13</sup>	Minor Children <sup>23</sup>	Communicator Lobbyist (Political	Principal of State Contractor or Prospective State Contractor (Political	
	0 11 1 (0 )	(Individual) 7		(Individual)	Employees 13	Employees 13			Committee)	Committee)	
	Candidate (Governor)	100 8	PROHIBITED 11	PROHIBITED	100	3,500	3,500	30	100 8	PROHIBITED 20	
NON PARTICIPATING CANDIDATES	Candidate (Lt. Governor)	100 8	PROHIBITED 11	PROHIBITED	100	2,000	2,000	30	100 8	PROHIBITED 20	
	Candidate (SOTS, Treasurer, Comptroller, AG)	100 8	PROHIBITED 11	PROHIBITED	2,000	100 15	2,000	30	100 8	PROHIBITED 20	
	Candidate (State Senator)	100 8	PROHIBITED 12	PROHIBITED	1,000	1,000	100	30	100 8	PROHIBITED <sup>21</sup>	
	Candidate (State Representative)	100 <sup>8</sup>	PROHIBITED 12	PROHIBITED	250	250	100	30	100 <sup>8</sup>	PROHIBITED <sup>21</sup>	
	Candidate (Governor)	100	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	
PARTICIPATING	Candidate (Lt. Gov., SOTS, Treasurer, Comptroller, AG)	100	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	
CANDIDATES	Candidate (State Senator)	100	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	
	Candidate (State Representative)	100	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	PROHIBITED	
	Exploratory Committee (Statewide Office and/or General Assembly - not including State Rep.)	100 <sup>8, 9</sup>	PROHIBITED 11, 12	PROHIBITED	375	375	375	30	100 <sup>8, 9</sup>	PROHIBITED <sup>20, 21</sup>	
	Exploratory Committee (Statewide Office and/or General Assembly - including State Rep.)	100 8, 9	PROHIBITED 11, 12	PROHIBITED	250	250	250	30	100 <sup>8, 9</sup>	PROHIBITED <sup>20, 21</sup>	
	Candidate (Probate Judge)	1,000	1,000	1,000	1,000	1,000	1,000	30	1,500	1,500	
	Candidate (Chief Executive Officer of Town)	1,000 250	1,000 250	1,000 250	1,000 250	1,000 250	1,000 250	30 30	1,500 375	1,500 375	
	Candidate (All other Municipal Offices)  Candidate (Town Committee Primary) <sup>2</sup>	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	
	Exploratory committee (Municipal Office Only)	375	375	375	375	375	375	30	375	375	
	Political Slate Committee (Municipal Office Only)	1,000/yr	1,000/yr	1,000/yr	1,000/yr	1,000/yr	1,000/yr	30	2,000/yr	2,000/yr	
	Political Slate Committee (Town Committee Primary) <sup>2</sup>	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	
	Party Committee (Town)	100	PROHIBITED	PROHIBITED	2,000/yr	2,000/yr	2,000/yr	30/yr	100 <sup>8</sup>	PROHIBITED	
	Party Committee (State Central )	100	PROHIBITED	PROHIBITED	10,000/yr	10,000/yr	10,000/yr	30/yr	100 <sup>8</sup>	PROHIBITED	
	Legislative Caucus or Leadership Committee	100 <sup>8</sup>	PROHIBITED 12	PROHIBITED <sup>10</sup>	2,000/yr	2,000/yr	100/yr	30/yr	100 <sup>8</sup>	PROHIBITED <sup>21</sup>	
	Political Committee (2 or more Individuals)	100 18, 24	PROHIBITED 11, 12	PROHIBITED <sup>10</sup>	100/yr <sup>14</sup>	100/yr <sup>16</sup>	100/yr <sup>17</sup>	30/yr	100 22, 24	PROHIBITED <sup>20, 21</sup>	
	Political Committee (Business Entity)	1,000/yr <sup>19</sup>	PROHIBITED 11, 12	PROHIBITED <sup>10</sup>	1,000/yr	1,000/yr	1,000/yr	30/yr	2,000/yr	PROHIBITED <sup>20, 21</sup>	
	Political Committee (Organization)	750/yr <sup>5, 19</sup>	PROHIBITED 5, 11, 12	PROHIBITED 5, 10	750/yr <sup>5</sup>	750/yr <sup>5</sup>	750/yr <sup>5</sup>	30/yr <sup>5</sup>	2,000/yr	PROHIBITED <sup>20, 21</sup>	
	Referendum <sup>4</sup>	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	UNLIMITED	30/yr	UNLIMITED	UNLIMITED	

## STATE ELECTIONS ENFORCEMENT COMMISSION REVISED CONTRIBUTION LIMITS & RESTRICTIONS FOOTNOTES

- <sup>1</sup> For special donor restrictions, see Chart 2.
- <sup>2</sup> Contribution limits to candidate committees apply separately to primaries and elections. Contributions received on or before day of primary are counted toward primary; contributions received after primary are counted toward election. Candidate must compete in primary for separate primary limit to apply and in election for separate election limit to apply.
- <sup>3</sup> Candidates in a primary for town committee member are not subject to contribution limits or restrictions set forth in Sections 9-611 to 9-620 of the Connecticut General Statutes, unless they have designated a town committee as their sole funding source (in which case, the contribution limits to a town committee apply).
- <sup>4</sup> Business entities, trade and professional associations, labor unions and other organizations, and groups of two or more individuals may contribute to a referendum campaign up to 10 cents per individual residing in the state or political subdivision in which such referendum question is to be voted upon. In the case of a group of individuals, they may only spend up to \$1,000 or else will need to form a political committee to make the contribution.
- <sup>5</sup> Individual contributions may only be made by members of the organization provided that organization elects to fund its political committee from voluntary contributions. If the organization elects to fund its political committee through the organization's treasury, then an individual may not make any contributions.
- <sup>6</sup> Limitations are subject to federal law.
- <sup>7</sup> Limits also apply to spouse and dependent children of a communicator lobbyist.
- <sup>8</sup> During any regular legislative session or during special sessions or vote-override sessions in odd-numbered years, such contributions are prohibited.
- 9 Reduced limit applies to exploratory committee of a candidate considering Governor, Lt. Governor, Secretary of the State, Comptroller, Treasurer, Attorney General, State Senator and/or State Representative. For other offices, \$375 limitation applies.
- If state contractor has a contract valued at \$50,000 or more with the state or a single state or quasi-public agencies in the executive branch, then its principals are prohibited from contributing to candidate or exploratory committees for the offices of Governor, Lt. Governor, Secretary of the State, Treasurer, Comptroller, or Attorney General and any political committee authorized to make contributions to such candidates. The prohibition also applies to the principals of any prospective contractor which submits a bid or proposal in response to an invitation or request by a state or quasi-public agency that is valued at \$50,000 or more while the bid or proposal is under consideration by the agency. If a state contractor has a contract valued at \$50,000 or more with the state or a single state or quasi-public agency in the legislative branch, or separate contracts valued together at \$100,000 or more in a calendar year with multiple state or quasi-public agencies in the legislative branch, then its principals are prohibited from contributing to candidate or exploratory committee for the offices of State Senator or State Representative or any political committee authorized to make contributions to any such candidates. Principals of state contractors or prospective state contractors are defined in Section 9-612(g)(1)(F) of the Connecticut General Statutes and include spouse and dependent children eighteen years of age or older.
- <sup>11</sup> Prohibition applies if contract, bid or proposal is with **executive** branch agency and meets financial threshold. If contract, bid or proposal is with **legislative** branch, then principal may contribute to Statewide Office candidate, exploratory or political committees subject to the same limits as any other individual.
- <sup>12</sup> Prohibition applies if contract, bid or proposal is with **legislative** branch agency and meets financial threshold. If contract, bid or proposal is with **executive** branch agency or quasi-public agency, then principal may contribute to State Senator or State Representative candidate, exploratory or political committees subject to the same limits as any other individual.
- <sup>13</sup> Limits also apply to spouse and dependent children of such individual.
- 14 Reduced limit applies to a political committee established by a candidate for Governor or Lt. Governor; otherwise, the limit is \$1,000/yr.
- <sup>15</sup> Reduced limit applies to the office in which such official serves.
- 16 Reduced limit applies to a political committee which is established by a candidate for the office in which such official serves; otherwise, the limit is \$1,000/yr.
- 17 Reduced limit applies to a political committee which is established by a candidate for the office of State Senator or State Representative; otherwise, the limit is \$1,000/yr.
- <sup>18</sup> Reduced limit applies to a political committee established or controlled by a candidate for Governor, Lt. Governor, Secretary of the State, Treasurer, Comptroller, Attorney General, State Senator or Representative, and incumbents in such offices; otherwise, the limit is \$1,000/year.
- <sup>19</sup> Contributions may not be made to committee and then "earmarked" for candidate committees that are considered prohibited recipients.
- <sup>20</sup> Prohibition applies if contract, bid or proposal is with executive branch agency and meets financial threshold or if affiliated principal is a holder of a valid prequalification certificate from the Commissioner of Administrative Services and the recipient political committee is authorized to contribute to executive branch candidates. If contract, bid or proposal is with legislative branch, then principal (political committee) may contribute to candidate or exploratory committee of a candidate for executive branch office, or political committee authorized to contribute to such candidates subject to the same limits as any other political committee; \$2,000 for political committee).
- <sup>21</sup> Prohibition applies if contract, bid or proposal is with legislative branch agency and meets financial threshold or if affiliated principal is a holder of a valid prequalification certificate from the Commissioner of Administrative Services and the recipient political committee is authorized to contribute to General Assembly candidates. If contract, bid or proposal is with executive branch agency or quasi-public agency, then principal (political committee) may contribute to candidate or exploratory committee of a candidate for the General Assembly or political committee authorized to contribute to such candidates, subject to the same limits as any other political committee (\$375 for exploratory committee).
- 22 Reduced limit applies to political committee established or controlled by a candidate for Governor, Lt. Governor, Secretary of the State, Treasurer, Comptroller, Attorney General, State Senator or Representative; otherwise, the limit is \$2,000/year.
- <sup>23</sup> Minor Child is now defined as less than eighteen years of age.
- <sup>24</sup> During any regular legislature session or during special sessions or vote-override sessions in odd-numbered years, such contributions are prohibited if the recipient political committee is: (1) established for an assembly or senatorial district; (2) established by a member of the General Assembly or a State Officer or their agent, or in consultation with any member, officer or agent; or (3) controlled by such member, officer or agent to promote a General Assembly or Statewide Office candidate.